

Registration Form

Participant:

Title Mr / Ms

Name _____

Surname _____

Email _____

Position _____

Phone _____

Company:

Company Name _____

Address _____

Registration Fee: €150

Preferably, registration fees are to be made by cheque, payable to Institute of Financial Services by not later than Friday 8th June 2015 and are to be addressed to:

**Institute of Financial Services (Malta),
60, G'Mangia Hill, Pieta PTA 1316**

For more information please call us on 21240335 or email info@ifsmalta.org

training.ifsmalta.org

Robert Souster



Robert (Bob) Souster is a Partner in Spruce Lodge Training, a consultancy firm based in Northampton, England. He lectures on economics, corporate and business law, management, corporate governance and ethics. He is the Module Director for 'Professionalism, Regulation and Ethics', a core module of the Chartered Banker MBA programme at Bangor University, Wales.

Bob's previous career was in banking, having served as a divisional manager for EBS, then Ireland's second largest mutual financial institution (and now part of AIB Group) in Dublin.

Bob has served as an examiner and verifier for the Chartered Banker Institute. He was previously an examiner for the Institute of Financial Services and the Institute of Bankers in Ireland.

He has been a lecturer for London Metropolitan University in marketing, law and economics and has also worked with the College of Commerce, Dublin, Sheffield Hallam University and Jiao Tong University and SUFE in Shanghai.

Bob has written several textbooks, including 'Money Laundering' and 'Professionalism, Ethics and Regulation', published by BPP for the Chartered Banker Institute, and various books for mortgage compliance examinations offered by the Chartered Insurance Institute.



Professional Ethics in the Financial Sector

ETHICS MASTERCLASS

Thursday 11th June 2015

MFSA, Conference Hall, Attard

Event

Following the Pensions Seminar, held in February 2015, this event welcomes once again Mr Robert Souster as a course provider to our shores.

During this event Mr Souster will extensively demonstrate and elaborate on the importance of professional ethics in the financial services sector and how this has become more relevant than ever in the current environment.

Apart from focusing on case studies throughout the day, during the last session of the event, more practical scenarios from the financial services industry will be used to highlight key concepts and provide insight into the ethical dilemmas and challenges being encountered.

Who should attend?

Financial Services professionals who work with or have responsibility for taking business decisions, dealing with clients and staff and preparing and submitting official reports. This Masterclass will be beneficial to:

- Senior and departmental managers
- Compliance executives
- Finance personnel
- Marketing officials
- Operations managers
- Human resources managers
- Investment managers & corporate treasurers
- HR Trainers
- Internal Auditors and Legal advisors
- Audit and Accountancy firms

Agenda

08:45 - 09:00 Registration

09:00 - 10:30 Session 1

The new focus on ethics and professionalism:

Contemporary issues in banking.

Where ethical and unethical behaviour may lead us.

What is ethics?

Fundamental principles of ethics.

Approaches to ethics:

- ethics based on virtues (are we 'nice?');
- ethics based on duties (are we responsible?);
- ethics based on consequences (do we think about implications of decisions and actions?).

10:30 - 10:45 Coffee Break

10:45 - 12:15 Session 2

Ethics and the individual:

- how we come to ethical decisions - moral reasoning;
- ethical decision taking models, and applications to selected ethical dilemmas and conflicts of interest.

Ethics and the organisation:

- ethics starts with stakeholders, but do they drive our actions?
- alternative views – consensus theory, responsibilities of banking organisations;
- narrow and broad ethical stance, and lessons for financial services providers.

12:15 - 12:45 Networking Lunch

12:45 - 14:15 Session 3

Corporate social responsibility:

- what we mean by CSR, and what CSR is not;
- the role of regulators / legislators;
- corporate codes of ethics, and codes of professional bodies;
- implications for corporate governance.

14:15 - 14:30 Coffee Break

14:30 - 16:00 Session 4

Case study scenarios:

Case studies from the banking industry, and discussion of lessons and future implications.

Event Details

Date: 11th June 2015

Venue: MFSA, Notabile Road, Attard

Price: €150

Certificate: Issued and Awarded by the Institute of Financial Services - Malta

CPE Hours: ifs Malta made arrangements so that Accountants registered for this programme can claim structured CPE hours. These hours will be shortly confirmed.