

The 2nd Annual Malta Fund Servicing Conference

IMPLICATIONS FOR FUND SERVICES UNDER AIFM & UCITS IV DIRECTIVES

March 28th & 29th Morning, 2012 – Radisson Blu St Julian's – Malta



James Farrugia
Director Investment Services
GANADO & ASSOCIATES, Malta

Prof. Joe Bannister
Chairman
MALTA FINANCIAL SERVICES AUTHORITY, Malta

Peter Astleford
Partner
DECHERT LLP, London

Dr Simon Tortell
Partner
TORTELL & ASSOCIATES, Malta

James Stevens
Head of Legal & Partner
COMAC CAPITAL LLP, London

Dominique Lecocq
Partner
LECOQC ASSOCIATE Ltd, Geneva

Carine Delfrayssi
Deputy Head of International Affairs
AFG –French Asset Management
Association, Paris

Dermot Butler
Chairman
CUSTOM HOUSE FUND SERVICES Ltd, Dublin

Stephen Paris
Leader Financial Service Industry
DELOITTE, Malta

David Bailey
Managing Partner
AUGENTIUS FUND ADMINISTRATION LLP, London

Dr.Stefan Jaecklin
Partner Financial Services
OLIVER WYMAN, Zurich

Marnix Arickx
Head Fund Engineering
BNP PARIBAS INVESTMENT PARTNERS

Matthias Liermann
Managing Director | Head of Product,
DWS Europe and Asia Global, Frankfurt

Graham Goodhew
Conducting Officer
JP MORGAN ASSET MANAGEMENT
Luxembourg

William Jones
Co-Founder
DTMC GROUP

David Griscti
Partner
DAVID GRISCTI & PARTNERS, Malta

Bill Scrimgeour
Global Head of Regulatory & Industry Affairs
HSBC, London

Ian Barnes
Executive Director
Head of Trust & Fiduciary
JP MORGAN BANK

David Aldrich
Managing Director
BNY MELLON, London

Daniele Cop
Partner Financial Services
MAMO TCV, Malta

Strategic Partner

MFSA

MALTA FINANCIAL SERVICES AUTHORITY

Gold Partner

HSBC

Partners

MAMO TCV

ADVOCATES

CEO LETTER



Dear Executive,

This year conference will address the operational implications for fund services under the AIFM and UCITS Directives as both regimes are reaching Level II and III.

The European fund landscape is now almost completed and fund managers and fund administrators can deploy their business models, strengthen their asset buildings capabilities and pick-up and use the financial hub that most suits their needs.

The conference will therefore examine in details from both a strategic and practical standpoint:

- AIFMD – Implications for Hedge Fund Managers
- AIFMD / UCITS IV – Implications for Administrators
- AIFMD / UCITS IV – The Distributors Perspective
- AIFMD / UCITS IV – Implications for Depositories

Fund Administrators, Service Providers, Lawyers and Auditors are expected to have solutions ready to meet the needs of their clients as new structures are set-up.

Based on last year highly successful conference, the objective of this year is to provide the high-level and expert framework needed for in-depth discussions to formulate best business options to fully exploit the Directives and assess and reverse constraints into opportunities.

In this context, Malta Financial Services are competing for market share both inside and outside EU and do rely on market openness from the Regulator backed by a sound and adaptable regulatory framework and a stable and predictable tax environment. A skilled labour force using English as the business language of choice is equally paramount to develop business in an efficient and timely manner.

We wish you a highly focus and thoughtful conference that will be a great opportunity to strengthen your strategy and muscle the skills needed to leap frog the pass-porting opportunities and brand awareness that both Directives will ultimately deploy on the worldwide financial arena

Yours Sincerely,

François Golbery,
CEO, MGI SA, Switzerland

Who Should Attend?

Fund Promoters	Fund Accountants
Investment Managers	Head of Operations
Conducting Officers	Head of Product
Development	
Fund Administrators	Investment Controls
Custodians	Internal Auditors
Lawyers	Fund Compliance
Legal Departments	External Audit
Risk Managers	

Future MGI Fund Conferences

AIFMD LEVEL TWO

*EU Domiciles' Attractiveness - Fund Clonage
Open-up of the Custody Market*

**February 8, 2012 - Kikuoka Golf Club -
Luxembourg**

THE DUAL FUND MANAGEMENT COMPANY CONFERENCE

Scalability & Operational Issues

Pros & Cons of the ManCo over SIAG model

**February 28, 2012 - Kikuoka Golf Club -
Luxembourg**

THE FUND COMPLIANCE SUMMIT

A key regulatory update of the fund landscape

**March 2nd, 2012 – Kikuoka Golf Club –
Luxembourg**

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**The 2nd Annual Malta Fund Servicing Conference
March 28th, 2012**

BRINGING UCITS IV AND AIFMD TOGETHER

- 08.30 am Welcome Coffee and Registration**
- 09.00 am Welcome Opening Remarks by François Golbery and the Chairman**
- James Farrugia
Director Investment Services
GANADO & ASSOCIATES, Malta
- 09.15 am Keynote Address:
Latest Developments in European Regulations**
- Prof. Joe Bannister
Chairman
MALTA FINANCIAL SERVICES AUTHORITY, Malta
- 09.45 am The Interplay between AIFMD, UCITS IV and MiFID**
- James Farrugia
Director Investment Services
GANADO & ASSOCIATES, Malta
- 10.15 am Key Consideration to AIF Fund Structuring**
- Peter Astleford
Partner
DECHERT LLP, London
- 10.45 am Coffee-Break**

THE BUSINESS CASE FOR HEDGE FUNDS

- 11.15 am AIFMD/UCITS IV – Implications for Hedge Fund Managers**
- Main Speaker:**
- Dr. Simon Tortell
Partner
TORTELL & ASSOCIATES, Malta

11.30 – 12.15 Panel Session:

Darwinian Evolution in Hedge Fund Business Models

- Operating, business and distribution models post AIFMD
- Domiciliation issues to consider when setting-up or relocating a hedge fund into Europe
- Key issues to consider with prime brokerage/custody function & fund administration cost effectiveness
- The future of absolute return UCITS
- The alternative fund manager's perspective

Chairman:

Dr. Simon Tortell
Partner
TORTELL & ASSOCIATES, Malta

Panelists:

James Stevens
Partner
COMAC CAPITAL LLP

Peter Astleford
Partner
DECHERT LLP, London

Dominique Lecocq
Partner
LECOCQ ASSOCIATE Ltd, Geneva

Carine Delfrayssi
Deputy Head of International Affairs
AFG –French Asset Management
Association, Paris

12.15 pm AIFMD/UCITS IV – Key Requirements and Implications for Fund Administrators

Main Speaker:

Dermot Butler
Chairman
CUSTOM HOUSE FUND SERVICES Ltd,
Dublin

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12.30 1.15 pm Panel Session:

How to Redefine the Current Offerings?

- Should fund administrators expand their scope of service offerings?
- Should fund administrators expand geographically?
- How this will impact your business model and operational processes?

Chairman:

Dermot Butler
Chairman
CUSTOM HOUSE FUND SERVICES Ltd, Dublin

Panelists:

Stephen Paris
Leader Financial Service Industry
DELOITTE, Malta

Martin McCarthy (asked)
CITCO FUND SERVICES

David Bailey
Managing Partner
AUGENTIUS FUND ADMINISTRATION
FUND ADMINISTRATORS, Malta - Luxembourg

1.15 pm Lunch Hosted by

HSBC

2.30 pm AIFMD/ UCITS IV – The Fund Distribution's Perspective

Keynote Speaker: HSBC (to be designed)

Speaker AIF: Dr. Stefan Jaecklin
Partner Financial Services
OLIVER WYMAN, Zurich

"Alternative Investment Funds Domiciles Onshore /Offshore and Trends post AIFMD"

2.45 -3.30 Panel Session:

Challenges to the Fund Distribution Models

- Are master-feeder structures and ManCo passport being implemented and used under UCITS IV provisions?
- Update on cross border notification and registration process for UCITS
- Addressing the strategic implications for UCITS IV fund distribution

- How to address advisory services?
- Proprietary channel vs open architecture
- Does AIFM directive create a real opportunity for cross-border platforms for alternative funds?
- Passport versus private placement

Chairman:

(name to be designated)

Panelists:

Marnix Arickx
Head Fund Engineering
BNP PARIBAS INVESTMENT PARTNERS,
Brussels

Matthias Liermann
Managing Director | Head of Product,
DWS Europe and Asia Global, Frankfurt

3.30 pm

Coffee-Break

4.00 pm

Fund Governance Requirements under AIFMD – UCITS IV – MiFID 2

Main Speaker:

Graham Goodhew
Conducting Officer
JP MORGAN ASSET MANAGEMENT,
Luxembourg

4.30 -5.30

Panel Session:

Impact of AIFMD and UCITS IV on Fund Board, Governance and Oversight

- Compliance with AIFMD and UCITS IV pose challenges to Fund Boards
- Are Fund Boards clear on their responsibilities post AIFMD & UCITS IV?
- How they address risk management oversight, conflicts of interest and due diligence process with service providers?
- Fund Governance challenges – Towards a new EU model?

Chairman:

Graham Goodhew
Conducting Officer
JP MORGAN ASSET MANAGEMENT,
Luxembourg

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Panelists:

Prof. Joe Bannister
 Chairman
 MALTA FINANCIAL SERVICES AUTHORITY,
 Malta

William Jones
 Chief Executive Officer
 MANAGEMENT PLUS GROUP

Robert Duggan (to be confirmed)
 Partner
 MOURANT OZANNES, Channel Islands

David Griscti
 Partner
 DAVID GRISCTI & PARTNERS, Malta

5.30 pm Concluding Remarks

5.45 – 7.00 Networking Drinks offered by

MFSA

MALTA FINANCIAL SERVICES AUTHORITY

**The 2nd Annual Malta Fund Servicing
 Conference March 29th, 2012**

09.30 am Welcome Opening Remarks by the Chairman

**09.45 am AIFMD / UCITS IV – Implications for
 Depositories**

Main Speakers:

Bill Scrimgeour
 Global Head of Regulatory and Industry
 Affairs,
 HSBC, London

William Slattery (asked)
 Head of European Offshore Domiciles &
 Country Head
 STATE STREET, Ireland

&

Duncan Drummond
 Head of Legal International Prime Brokerage
 MORGAN STANLEY, London

10.00–11.00 Panel Session:

**Depository Reforms & their Impact on
 Duties – Delegation – Liability**

- Changes required to business models under AIFMD Level II and UCITS V
- Remaining areas of significant concern
 Including risky market situations, monitoring of AIF's cash flows, sub custodian liability, treatment of collateral
- What will be the strategic impact on cross-border funds?
- How this will affect PIF & UCITS Malta funds?

Chairman:

William Slattery (asked)
 Head of European Offshore Domiciles &
 Country Head
 STATE STREET, Ireland

Panelists:

Ian Barnes
 Executive Director
 Head of Trust & Fiduciary
 JP MORGAN BANK

David Aldrich
 Managing Director
 BNY MELLON, London

Daniele Cop
 Partner Financial Services
 MAMO TCV, Malta

**11.00 am MiFID II Update & Key Implications for
 the Fund Servicing Industry**

Graham Goodhew
 Conducting Officer
 JP MORGAN ASSET MANAGEMENT,
 Luxembourg

11.30 am Concluding Remarks
James Farrugia
 Director Investment Services
 GANADO & ASSOCIATES, Malta

12.00 pm End of The Conference

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SPEAKERS

James Farrugia, Director Investment Services
GANADO & ASSOCIATES, Malta

Mr. James Farrugia joined Ganado & Associates, Advocates in February 2008 and is a Director in the firm's Investment Services and Funds Group. Mr. Farrugia started his career in 2000 as a junior auditor with the then representative of Arthur Anderson in Malta. In January 2001 he moved to the Malta Financial Services Authority as a Manager within the Securities Unit responsible for supervision and subsequently licensing of investment services providers and investment funds. During his time at the MFSA, Mr. Farrugia was also MFSA's representative on CESR's (now ESMA) Investment Management Expert Group and was extensively involved in the transposition of the UCITS III Directive into Maltese law.

James graduated as Bachelor of Accountancy (Hons) from the University of Malta. He was also awarded an M.A. in Financial Services from the same university and an MSc in International Securities Investments and Banking from the ICMA Centre of the University of Reading both with distinction. James is also a Certified Public Accountant and a Mansion House Scholar. James contributes regularly in international journals associated with investment funds and has addressed a number of local and international conferences focusing on this sector.

James also regularly lectures on investment services and investment funds at training programs organised by the Institute of Legal Studies, the Institute of Financial Services, the Malta International Training Centre and the Malta Financial Services Authority. He is a member of the Alternative Investment Management Association and the Institute of Financial Services Practitioners.

Prof. Joe Bannister, Chairman
MALTA FINANCIAL SERVICES AUTHORITY, Malta

Joe V Bannister (Maltese) is Chairman of the Malta Financial Services Authority, a position he has held since 1999, he also held the post of Chairman of its predecessor the Malta Financial Services Centre between 1995 and 1997. From 1990 to 1994 he was Chairman of the Malta's inward investment authority (Malta Development Corporation). After graduating in science from the Universities of Malta and Oxford (UK), he held positions at both Universities and also at the Cranfield Institute of technology (UK) and was Pro-Rector at the University of Malta from 2002 to 2006.

SPEAKERS

He has served as Chairman of Tri-Med Fund Management Limited (1998 to 1999) and Mid-Med Bank Overseas Limited (1999) (both subsidiaries of Mid-Med Bank Ltd). Prof Bannister is an independent director of a number of non-Maltese investment funds (hedge funds) on behalf of international institutions.

Dermot Butler, Chairman
CUSTOM HOUSE FUND SERVICES Ltd, Dublin

Born in 1941, Dermot Butler has over 40 years experience in the financial services industry. He has worked variously as a stockbroker and stock jobber on the London Stock Exchange, a commodity broker and as a principal dealer in commodity options on the London Metal and London Commodity Exchanges.

In 1986, Dermot Butler became Chairman of McDonnell & Co. (London) Ltd., responsible for product development and sales. By the end of 1988, the McD range of funds had grown to over US\$100 million in assets.

In 1989 Dermot Butler moved to Dublin and established the Custom House Group of Companies, a global fund administration company, which merged its business with Equity Trust's fund services business in 2008. Subsequently, in 2011 Equity Trust merged with TMF, therefore, Custom House is now a member of the TMF Group. The parent company of the Group, Custom House Global Fund Services Ltd., is registered in Malta and operates through offices in Chicago, Dublin, Guernsey, Luxembourg, the Netherlands and Singapore, as well as Malta.

Dermot Butler is a regular speaker at international conferences and has authored numerous articles on hedge funds. He has appeared as an expert witness testifying on regulatory matters. He is a director of a number of companies, several of which are listed on the Irish Stock Exchange. He was Deputy Chairman of AIMA (the Alternative Investment Management Association) for six years until 2008.

Dermot is married, with one daughter, two stepsons, four grandchildren and two dogs. He lives in Wicklow, Ireland.

David Bailey, Managing Partner,
AUGENTIUS FUND ADMINISTRATION LLP, London

David became Managing Partner of Augentius Fund Administration on completion of the MBO in August 2006, having joined Ansbacher Fund Services in October 2002. He is responsible for product and business development on a global basis.

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Prior to joining Augentius, David Bailey was Funds Product Manager at Gulf International Bank (UK) Limited.

Prior to that, he spent five and a half years with Bank of Bermuda in their fund administration and custody business, in both their Luxembourg and London offices.

Dr. Stefan Jaecklin, Partner, OLIVER WYMAN, Zurich

Dr. Jaecklin founded Oliver Wyman's global wealth and asset management services and leads a range of strategic projects and advises asset and wealth managers on strategic issues as well as on operational and distribution set-up.

Stefan Jaecklin holds a Doctorate in International Economics from HEI Geneva, a M.soc.Sci from Singapore National University and is a CFA Chartered Financial Analyst.

Peter Astleford, Partner, DECHERT LLP, London

Peter Astleford has more than 25 years' experience in providing advice to fund managers, banks, brokers and corporates, with regard to financial services regulatory work, onshore and offshore funds and venture capital. He is a member of the firm's Policy Committee, co-chair of the firm's global financial services group and heads the group in Europe. He is admitted to practice in England and Ireland.

Peter has advised on structuring and cross border business in many jurisdictions and is a lead author to, inter alia, *How to Start and Grow a Successful Hedge Fund in Europe and Comparative Jurisdiction Guide: Where to Set up and, Perhaps, Manage a Hedge Fund* published by ISI and *Hedge Funds and the Law* published by Sweet & Maxwell.

Peter is recommended as a leading lawyer in his field in all the main independent directories and is described in the 2010 edition of *Chambers UK* as "one of the best hedge funds lawyers in London".

He qualified as a solicitor in 1986 with Linklaters, where he practised in London and Brussels. In 1990, Peter joined INVESCO MIM PLC, the London listed holding company to the international financial service group, as group legal adviser and later as head of corporate services before returning to private practice in 1993.

Dr. Simon Tortell, Partner, TORTELL & ASSOCIATES, Malta

Dr. Simon Tortell is the firm's Senior Partner. He focuses on business development initiatives and his main areas of practice are investment funds, fund structuring, banking, trusts and taxation.

SPEAKERS

Dr. Tortell was at the forefront of the development of the financial services industry in Malta, he was responsible for the setting up and licensing of the first UCITS III platform in Malta, the re-domiciliation of offshore fund structures to Malta together with the licensing of established fund managers.

He is a member of the International Bar Association, the Alternative Investment Management Association, the Institute of Financial Services Practitioners and the Chamber of Advocates, Malta.

Dr. Simon Tortell graduated Doctor of Laws from the University of Malta in 1984.

**James Stevens , Partner
COMAC CAPITAL LLP**

James Stevens joined COMAC Capital LLP in 2010 from GLG Partners (2009-2010), a London-based hedge fund manager, where he was Legal Counsel.

Prior to GLG Partners, Mr. Stevens was the General Counsel at Pendragon Capital (2008-2009), a London-based hedge fund manager which focuses on event driven strategies.

Mr. Stevens qualified as a solicitor in 2001 at Simmons & Simmons where he started his career (1999-2008). Mr. Stevens received his Law LLB at the University of Exeter (1998).

**Carine Delfrayssi, Deputy Head of International Affairs
Division,
AFG - French Asset Management Association**

AFG Members manage over 2,600 billion euros on behalf of institutional and private investors, through mandates and investment funds.

Carine holds a Certificate in Investment Management and an Investment Administration Qualification. She obtained a Master's degree from the Institute for Business Administration in Valenciennes and graduated in Economics and Finance from the Institute of Political Science in Strasbourg in 1996.

Her education also includes a six-month internship at the University of Finance and Economics in Chengdu, China and a one-year programme at Trinity College Dublin, Ireland.

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Dominique Lecocq , Partner, LECOCQ ASSOCIATE Ltd, Geneva

Dominique Lecocq is the managing partner of lecocqassociate with offices in Switzerland, Malta, and the United Arab Emirates. He graduated from the School of Law of the University of Geneva in 1999 and obtained a Master of Laws in Securities and Financial Regulation from Georgetown University in 2005.

Before founding Lecocqassociate, Dominique Lecocq worked as a foreign associate with Pinheiro Neto, Advogados, in São Paulo, Brazil. From 2000 to 2004, he served with a large business law firm in Zurich. In 2005, he interned with the Commodities Futures Trading Commission in Washington DC.

Finally, from 2005 to 2007, he served with the Corporate Finance and Regulatory Practice Group of Schellenberg Wittmer in Geneva. His areas of practice are collective investments, corporate finance, regulatory banking and insurance and islamic finance.

Dominique Lecocq has written a series of articles in the collective investments, banking and insurance areas.

**Marnix Arickx, Head Fund Engineering
BNP PARIBAS INVESTMENT PARTNERS**

Marnix Arickx at BNP Paribas Investment Partners leads the development of the global fund range and is responsible of the fund engineering activity.

Prior to this, he was responsible for the development of Fortis Investment's long-only and hedge fund products range.

He started his career as an Investment Strategist and he holds a Master of Applied Economics from the University of Leuven, Belgium as well as an MBA in Finance from the University of Chicago.

Matthias Liermann, Managing Director | Head of Product, DWS Europe and Asia Global, Frankfurt

Mathias Liermann is responsible for the product area of DWS Europe and Asia, the retail mutual fund company of Deutsche Bank.

Prior to this, he was the Global Head in charge of the db-X fund platform. From 2006 to 2009, he was Head of Legal Structuring, Structured Products and Member of the Board of DWS Finanz Service GmbH IN Frankfurt.

Matthias received his PhD in Law from the University of Muenster and has been admitted to the bar in Dusseldorf and Frankfurt.

William Jones , Chief Executive Officer, Management Plus

William Jones is the Ceo of Management Plus Group, providing offshore directorship, management company, investment management and consulting services to the alternative investment industry through offices in the Cayman Islands, Singapore and Luxembourg. Previsously, he was advising international clients on alternative investments, reinsurance and special projects at WAJ Consulting.

From 2002 to 2005, William Jones was Head of Legal, Compliance and Risk, Alternative Fund Services. He was responsible for legal, compliance and risk matters for major offshore fund service provider. Extensive experience with administration, custody, shareholder services, corporate governance, regulatory and litigation matters relating to traditional and alternative offshore funds.

William Jones obtained a MBA from Columbia University, School of Business, New York and his Juris Doctor from Columbia University, School of Law, New York.

Bill Scrimgeour, Global Head of Regulatory & Industry Affairs, HSBC, London

Bill is the primary interface between HSS Securities Services and regulators, market infrastructures and industry bodies covering custodian, trustee, fund administration and financial market infrastructure issues.

The role is designed to analyse and prioritise regulatory and industry initiatives that might have an impact on business models and prepare the business for any such changes, whether they represent opportunities or challenges.

He is based in London having relocated from Hong Kong in 2008. He has first-hand knowledge of investment manager needs, markets and market trends having played a major part in setting strategy for Securities Services in Asia prior to his current role. Before their acquisition by HSBC in 2004, he worked with Bank of Bermuda in Singapore where he was Head of Sales for their Global Funds Services business marketing fund solutions to large traditional and hedge fund clients.

Prior to this, he spent 27 years in international corporate banking with Standard Chartered Bank in Asia, the Middle East and Europe.

HSBC Securities Services spans some 40 emerging and developed countries and is a division of Global Transaction Banking, one of HSBC's global business lines and part of Global Markets.

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Graham Goodhew, Conducting Officer
JP MORGAN ASSET MANAGEMENT, Luxembourg

Graham Goodhew is a Vice President of JPMorgan Chase and a Director of JPMorgan Asset Management Europe S.ar l.

With a background in Internal Audit and Risk Management he was instrumental in the setting up of Chase's Institutional Asset Management business in London in 1994 and after the merger with Flemings in 2000 he relocated to Luxembourg in 2001 to head up Risk Management for the European Retail business of JPMorgan Asset Management.

With the implementation of UCITS III he moved in 2005 into the new role of head of Corporate Governance for JPMorgan Asset Management (Europe) S.ar l.

He is, or has been, active in various industry working groups, organised by EFAMA or ALFI related to; Corporate Governance, MiFID, Investment Risk Management, the Key Information Document and the Management Company Passport. He was an industry representative on the CESR technical group on the Disclosure of Charges for the proposed Key Information Document and is a member of the ILA (Luxembourg Institute of Directors) Committee on Fund Corporate Governance.

Ian Barnes, Executive Director, Head of Trust & Fiduciary
JP MORGAN BANK

Ian Barnes is Executive Director at J.P. Morgan Worldwide Securities Services, join in 1989. For the last 5 years has been based in Luxembourg as Head of the Trust and Fiduciary group. In this position he is responsible for the Luxembourg and Belgium Depository Bank oversight obligations. Previously he held positions in operations, client services and relationship management based in J.P. Morgan Offices in Bournemouth (U.K.) and Frankfurt. This included setting up the Frankfurt client service group.

Prior to joining J.P. Morgan he worked for Barclays International PLC for a period of 5 years, principally in its foreign exchange department, based in London.

Ian Barnes is a member of the Luxembourg Senior Management Team which oversees local corporate governance of the Luxembourg bank entity.

He is also a member of the association of Professionals in Risk Management (PRIM) in Luxembourg. Ian holds a Business studies diploma.

David Aldrich, Managing Director
BNY MELLON, London

David Aldrich is head of Global Client Management in UK and Ireland, with responsibility for Financial Institutions, Alternative Investments, Broker/Dealer and Investment Banks, Asset Management, Insurance, US and Irish Bank client segments.

Previously, David was European head of BNY Mellon's Alternative Investment Services and Broker Dealer Services businesses, in addition to managing Financial Institutions Client Management across EMEA. David has over 20 years of financial markets industry experience. David is on the Board of Directors of The Bank of New York Mellon S.A./N.V. and The Bank of New York Mellon (Luxembourg) S.A., BNY Mellon Trust Company (Ireland) Limited, as well as other group entities.

He joined The Bank of New York Mellon in 2003, and was previously at JPMorgan where he held senior management positions in securities clearance and collateral management business lines in Europe.

Before this, David held senior business management positions in fixed income and derivatives at both Credit Suisse and UBS, where he started his career.

He holds a MBA Advisory Board member at Cass Business School, City University London and is a graduate of the University of Kent, with honours, in Economics.

Danièle Cop, Partner, Financial Services
MAMO TCV, Malta

Danièle Cop runs the Financial Services Department at Mamo TCV Advocates together with Joseph Saliba. Danièle joined Mamo TCV Advocates, one of Malta's tier-one law firms, as an associate in 2001 and became a partner in 2011.

Danièle is actively involved in the setting up of alternative investment funds (licensed in Malta as professional investor funds) and UCITS, UCITS management companies, investment firms and financial institutions. She advises local and foreign clients operating in the financial services industry, including fund managers, fund administrators, prime brokers and custodians, on legal and regulatory matters.

Danièle holds a degree in Law from the University of Antwerp, Belgium (Bachelor of Law, 1997; Licentiate in Law, 2000) and a post-graduate degree in Economic Law from the University of Brussels, Belgium (2001).

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Danièle's background in EU law, developed further whilst working at Mamo TCV's EU Law Department, combined with her interest in financial services, led her to join Mamo TCV's financial services team following Malta's accession to the European Union in 2004.

Danièle is a member of the Institute of Financial Services Practitioners in Malta (IFSP).

François Golbery, Chief Executive Officer
MGI SWITZERLAND S.A., Geneva

François Golbery is the co-owner and CEO of MGI Management Global Information SA, an independent Family Owned Business based in Geneva, co-founded in 1994 with his wife Anne Golbery. François Golbery at MGI is focusing on emerging trends that will have a strong impact on the Wealth Management and Fund Industry to help formulating tomorrow's strategies for implementation in day-to-day business practices. He has built –up a personal network of high calibre experts that are instrumental to forge the future and do participate in high level think tanks.

Prior to co-founding MGI, François Golbery has served in various senior positions in Marketing and Finance with multinational companies. In 1988, he started-up and grew the French subsidiary of IIR in Paris as the most profitable entity of the group. He was elected Manager of the Year in 1992 / 1993 and received his prize from Edward de Bono, the originator of the lateral thinking concept. He took over the responsibility of the Portuguese office as well.

He holds an MBA in Finance from the University of Hartford (Connecticut), under the patronage of Michel Barnier, currently the Commissioner of the Internal Market Division of the European Commission in Brussels.

François Golbery is a member of the Royal Ocean Racing Club in London.

Anne Golbery, Managing Director
MGI SWITZERLAND S.A., Geneva

At MGI, Anne is in charge of the operations including Partnership, Customer Service, Marketing, IT, Finance, and Human Resources. Previously, as IT Program Manager, Anne implemented Technical Information Systems for the Space Electronic Equipments at EADS, France, for 12 years.

She holds a Doctorate in Atomic Physics-1979 – Caen – France.

She is a member of UNCL, Union pour La Course au Large, in Paris, and she raced the FASTNET 1979 on a 12 meters Philippe Harle prototype.

Two from among her five children are running their own Family Business in the 3rd generation.

WHAT DELEGATE SAYS ABOUT THE 1ST MALTA
FUND SERVICING CONFERENCE
March 29 & 30, 2010 - MALTA

Excellent conference

Well organised with interesting expert speakers

Good quality of speakers

Well organised, excellent level of the speakers

Excellent speakers, well organised

Very Well organised

Interesting

Very informative and of good quality

Good info on UCITS

Conference was ok

Very high level of speakers

Good

Well organised and very well chosen speakers

Right information level

Very interesting and informative especially discussions highlighting issues

Very Informative and excellent technical conference

Targeted actual topics which are of interest at the moment

This conference was timely and greatly needed. The topics for discussion were well chosen

Well organised. Speakers were knowledgeable and the presentations were very interesting

Very informative and well presented. Variety of high-ranked speakers from various areas within the financial service industry is indeed the forte of this conference

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STRATEGIC PARTNER

MFSA

MALTA FINANCIAL SERVICES AUTHORITY

The Malta Financial Services Authority (MFSA) is the single regulator for financial services in Malta. It was established by law on 23 July 2002 taking over supervisory functions previously carried out by the Central Bank of Malta, the Malta Stock Exchange and the Malta Financial Services Centre. The Authority is a fully autonomous public institution and reports to Parliament on an annual basis.

The financial services sector incorporates all financial activity including that of credit institutions, financial and electronic money institutions, securities and investment services companies, regulated markets, insurance companies, pension schemes and trustees. The MFSA also manages the Registry of Companies and has also been appointed as the Listing Authority.

The organisational structure of the MFSA ensures that the regulatory and operational functions of the Authority are exercised within strict legal demarcations. The Board of Governors, presided by the Chairman, sets out policy and general direction and is assisted by the Legal and International Affairs Unit. The Director of this Unit is also the Secretary to the Board of Governors. The Supervisory Council, headed by the Director General, is exclusively responsible for licencing, supervision and regulation and is composed of the Directors responsible for Authorisation, Banking Supervision, Securities and Markets Supervision, Insurance and Pensions Supervision, and Regulatory Development. Operations are the responsibility of the Board of Management and Resources composed of the Directors responsible for Communications, Human Resource Development, Information Technology and Administration chaired by the Chief Operations Officer. Co-ordination between these three organs is ensured at Co-ordination Committee level.

The MFSA is also responsible for consumer education and consumer protection in the financial services sector. This function is vested in the Consumer Complaints Manager.

PARTNERS



Mamo TCV Advocates evolved from the merger in 2000 of two leading Maltese law firms - Tonna Camilleri Vassallo & Co., and John Mamo & Associates. Today, the firm is one of the largest legal practices in Malta.

Operating from offices in the capital Valletta, the firm offers an impressive depth and breadth of expertise which enables the firm to handle a variety of different legal areas, and provide, in essence a 'one-stop' service to clients. The firm's aim is to provide specialist legal advice to our clients in a timely and effective manner by combining the diverse resources of the firm in the most efficient way.

For the past years Mamo TCV Advocates has been top ranked by Legal 500, IFLR 1000, Martindale-Hubell, Chambers Global and Chambers Europe. For instance, IFLR 1000 refers to MAMO TCV as a firm which is "described by peers as "a top notch firm" and one which forms "part of the Maltese equivalent of the magic-circle firms"". Martindale-Hubell considers MAMO TCV as being "One of Malta's largest and leading law firms" whilst that Legal 500 describes the firm as one of the "best respected firms" in Malta.

Mamo TCV Advocates also operates cross-borders through an international network of lawyers to cover a broad range of legal areas, in particular in corporate and commercial practices, banking and finance, financial services, IP, communication, media and technology as well as shipping. The firm is the preferred correspondent in Malta for various, leading law firms based in Europe, particularly those operating out of the UK.

The Financial Services Department within the firm is committed to providing bespoke legal solutions to credit and financial institutions, investment firms, family offices, funds, fund managers and other operators in the financial services industry. Our mission is to deliver high-quality services in structuring and implementing investment proposals, operations and products in a pro-active, efficient and timely fashion. To this end, we continue to foster and develop our local and international network with a view to offering comprehensive and integrated services to clients.

REGISTRATION FORM
IMPLICATIONS FOR FUND SERVICES
UNDER AIFM & UCITS IV DIRECTIVES
March 28th & 29th Morning, 2012 – Radisson Blu St Julian’s – Malta

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MGI SA is dedicated to provide with strategic education and advisory for Global, Ethical & Sustainable Finance. Over the last 16 years, MGI SA has been developing 300 highly focused and in-depth conferences addressing emerging trends and regulatory development affecting the Fund and Wealth Management Industry always from a long term and global perspective, in EUROPE, US, LATAM and ASIA. In Europe, MGI SA is at the forefront to highlight European initiatives within the Lamfalussy procedure. Its total independence and ongoing privileged relationship with best talented global leaders and experts ensure a strategic approach to address the most relevant issues through its conferences.

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